

| County Treasurer's Report of Receipts, Disbursements and Balances by Fund | | | | | | |
|---|-------------|--------------------------------|------------------------|--------------|----------------|---------------------|
| Fiscal Year 2016, Month of November | | | | | | |
| Fiscal Year | FUND NUMBER | ACCOUNT NAME | BEGINNING CASH BALANCE | RECEIPTS | DISBURSEMENTS | ENDING CASH BALANCE |
| 2016 | 1 | GENERAL FUND | 14,606,044.38 | 5,177,849.84 | (6,390,631.19) | 13,393,263.03 |
| 2016 | 5 | JURY FUND | 6.00 | 8,088.00 | (8,082.00) | 12.00 |
| 2016 | 15 | JPD LOCAL FUND | 157,503.21 | 32.42 | (31,626.33) | 125,909.30 |
| 2016 | 16 | IV-E JUVENILE ADMINISTRATION | 145,818.16 | 24.69 | - | 145,842.85 |
| 2016 | 17 | JUVENILE PROBATION FEES | 27,910.86 | 80.01 | - | 27,990.87 |
| 2016 | 20 | ROAD & BRIDGE FUND | 2,019,951.04 | 150,160.17 | (204,383.88) | 1,965,727.33 |
| 2016 | 23 | VETERANS COURT | 1,635.50 | 213.00 | - | 1,848.50 |
| 2016 | 24 | E.A.S.E. 2.0 GRANT | - | - | - | - |
| 2016 | 25 | EMERGENCY MANAGEMENT FUND | 54,476.04 | 20.26 | (12,369.21) | 42,127.09 |
| 2016 | 26 | COURTHOUSE RENOVATION FUND | 65,100.00 | 2,250.00 | - | 67,350.00 |
| 2016 | 27 | S.C.A.A.P GRANT | 40,055.55 | 27,607.00 | (10,250.79) | 57,411.76 |
| 2016 | 28 | --CC VITAL STATISTICS-- | 24,154.65 | - | (2,666.48) | 21,488.17 |
| 2016 | 29 | --CC ARCHIVAL FEE-- | 265,965.84 | 18.21 | - | 265,984.05 |
| 2016 | 30 | FIRE CODE ENFORCEMENT FUND | 109,992.35 | - | - | 109,992.35 |
| 2016 | 31 | JUV.DELINQ.PREVENTION FUND | 50.00 | - | - | 50.00 |
| 2016 | 33 | COURT RECORD PRESERVATION FUND | 82,157.42 | 910.00 | - | 83,067.42 |
| 2016 | 34 | DIST COURT RECORDS TECHNOLOGY | 54,024.24 | 1,065.00 | - | 55,089.24 |
| 2016 | 35 | LAW LIBRARY FUND | 194,976.30 | 2,745.34 | (1,981.87) | 195,739.77 |
| 2016 | 36 | COUNTY/DIST COURT TECHOLOGY | 12,788.43 | 52.00 | - | 12,840.43 |
| 2016 | 38 | TCEQ LOCAL INITIATIVE PROJECT | 3,810.44 | - | - | 3,810.44 |
| 2016 | 39 | JUSTICE COURT SECURITY | 35,209.41 | 2.78 | - | 35,212.19 |
| 2016 | 40 | D.A. STATE FUND | 16,648.86 | - | (1,602.88) | 15,045.98 |
| 2016 | 41 | CLICK IT OR TICKET GRANT | - | - | - | - |
| 2016 | 42 | D.A. FORFEITURE FUND | 120,508.24 | 14.34 | (26.53) | 120,496.05 |
| 2016 | 43 | CITIES READINESS INITIATIVE | (15,337.44) | - | (1,020.61) | (16,358.05) |
| 2016 | 45 | TEXAS STATE LIBRARY GRANTS | - | - | - | - |
| 2016 | 47 | EMERGENCY MANAGEMENT FED GRANT | (41.55) | - | (8,789.00) | (8,830.55) |
| 2016 | 49 | SHERIFF'S ABANDONED FUND | 11,899.46 | 0.30 | - | 11,899.76 |
| 2016 | 50 | ERRORS & OMISSION INSURANCE | 151,817.86 | 30.38 | - | 151,848.24 |
| 2016 | 51 | PUBLIC SAFETY SALES TAX FUND | 450,899.05 | 32,944.19 | (68,500.00) | 415,343.24 |
| 2016 | 52 | CHILD ABUSE PREVENTION FUND | - | - | - | - |
| 2016 | 53 | DIST CLERK RECORDS MANAGEMENT | 15,881.99 | 570.00 | (462.26) | 15,989.73 |
| 2016 | 54 | COURT REPORTER SERVICE FUND | 218,574.66 | 1,183.91 | (210.00) | 219,548.57 |
| 2016 | 55 | APPELLATE JUSTICE SYSTEM FUND | 24,321.82 | 401.29 | (7,605.00) | 17,118.11 |
| 2016 | 56 | JUSTICE COURT TECHNOLOGY FUND | 185,556.74 | 605.44 | (851.02) | 185,311.16 |
| 2016 | 57 | CC RECORDS MANAGEMENT & PRES. | 688,269.33 | 22.95 | (2,632.93) | 685,659.35 |
| 2016 | 58 | RECORDS MANAGEMENT & PRES. | 136,881.98 | 818.60 | (19,096.00) | 118,604.58 |
| 2016 | 59 | COURTHOUSE SECURITY FUND | 87,784.39 | 1,145.92 | (21,388.89) | 67,541.42 |
| 2016 | 60 | DEBT SERVICE FUND | 879,402.33 | 821,061.89 | (606,100.19) | 1,094,364.03 |
| 2016 | 70 | LIBRARY CONSTRUCTION-2007 BOND | 24,860.54 | 2.30 | - | 24,862.84 |
| 2016 | 73 | LIBRARY RENNOVATION | 547.70 | - | - | 547.70 |
| 2016 | 74 | COUNTY INFORMATION TECHNOLOGY | 58,444.68 | - | - | 58,444.68 |
| 2016 | 76 | LIBRARY DONATION FUND | 24,346.66 | - | (59.71) | 24,286.95 |
| 2016 | 80 | CAPITAL PROJECT-COUNTY SVS. | 3.45 | - | - | 3.45 |
| 2016 | 81 | ROAD IMPROVEMENTS BOND 2004 | 7,187,664.21 | 585,920.74 | (1,172,668.26) | 6,600,916.69 |
| 2016 | 83 | --VETERANS MEMORIAL FUND-- | 7.56 | - | - | 7.56 |
| 2016 | 84 | INTEGRATED JUDICIAL SOFTWARE | 859,199.14 | - | (30,652.44) | 828,546.70 |
| 2016 | 85 | JPD TEXAS PARKS & WILDLIFE GRT | 54.82 | - | - | 54.82 |
| 2016 | 88 | JUVENILE PROBATION DEPARTMENT | (7,205.62) | - | - | (7,205.62) |
| 2016 | 90 | INDIGENT HEALTH CARE FUND | 75,051.47 | 1.41 | (24,834.68) | 50,218.20 |
| 2016 | 92 | BAIL BOND BOARD FUND | 1,303,260.01 | 5.09 | - | 1,303,265.10 |
| 2016 | 94 | ADULT PROBATION DEPARTMENT | 588,145.16 | 200,747.99 | (290,503.18) | 498,389.97 |
| 2016 | 170 | JUVENILE-PROBATION GRANTS | (27,547.32) | 134,860.00 | (56,786.62) | 50,526.06 |
| 2016 | 185 | COUNTY INSURANCE TRUST FUND | 482,365.29 | 989,944.43 | (809,633.41) | 662,676.31 |

| County Treasurer's Report of Receipts, Disbursements and Balances by Fund | | | | | | |
|---|-------------|--------------------------------|------------------------|--------------|----------------|---------------------|
| Fiscal Year 2016, Month of November | | | | | | |
| Fiscal Year | FUND NUMBER | ACCOUNT NAME | BEGINNING CASH BALANCE | RECEIPTS | DISBURSEMENTS | ENDING CASH BALANCE |
| 2016 | 201 | RADIO INTEROPERABILITY FUND | 4,738,614.47 | 426.77 | - | 4,739,041.24 |
| 2016 | 490 | ELECTION SERVICES FUND | 116,754.00 | - | (3,013.68) | 113,740.32 |
| 2016 | 495 | CHAPTER 19 FUND | 7,379.07 | - | - | 7,379.07 |
| | | SUB-TOTAL | 36,306,642.83 | 8,141,826.66 | (9,788,429.04) | 34,660,040.45 |
| 2016 | 801 | COUNTY CLERK BONDS-8280 | 23,394.83 | - | - | 23,394.83 |
| 2016 | 802 | COUNTY CLERK BONDS-3716 | 205,320.45 | - | - | 205,320.45 |
| 2016 | 811 | DISTRICT CLERK ESCROW | 501,339.83 | - | - | 501,339.83 |
| 2016 | 812 | DISTRICT CLERK GENERAL | 3,569.44 | - | - | 3,569.44 |
| 2016 | 821 | DISTRICT ATTORNEY ESCROW | 51,039.06 | - | - | 51,039.06 |
| 2016 | 822 | DISTRICT ATTORNEY MERCHANT | 2,303.80 | - | - | 2,303.80 |
| 2016 | 823 | DISTRICT ATTORNEY SEIZURE | 65,272.45 | - | - | 65,272.45 |
| 2016 | 824 | DISTRICT ATTORNEY FEE | 37,862.60 | - | - | 37,862.60 |
| 2016 | 831 | TAX ASSESSOR - SALES TAX | 1,885,380.55 | - | - | 1,885,380.55 |
| 2016 | 832 | TAX ASSESSOR - VIT ESCROW | 667,669.63 | - | - | 667,669.63 |
| 2016 | 833 | TAX ASSESSOR - AUTO REGISTRATI | 337,635.08 | - | - | 337,635.08 |
| 2016 | 834 | TAX ASSESSOR - PROPERTY TAX | 59,226.88 | - | - | 59,226.88 |
| 2016 | 835 | TAX ASSESSOR - TAX ESCROW | - | - | - | - |
| 2016 | 836 | TAX ASSESSOR-BOAT REGISTRATION | 9,686.87 | - | - | 9,686.87 |
| 2016 | 841 | SHERIFF PUBLIC SUPPORT | 46.50 | - | - | 46.50 |
| 2016 | 842 | SHERIFF LAW ENFORCEMENT | 263,949.07 | - | - | 263,949.07 |
| 2016 | 843 | SHERIFF CASH ESCROW | 104,468.16 | - | - | 104,468.16 |
| 2016 | 844 | SHERIFF OPERATING | 993.57 | - | - | 993.57 |
| 2016 | 845 | SHERIFF INMATE TRUST | 15,778.47 | 17,672.10 | (18,405.15) | 15,045.42 |
| 2016 | 846 | SHERIFF LEOSE | 7,109.93 | - | (1,165.74) | 5,944.19 |
| 2016 | 847 | SHERIFF PENDING FORFEITURE | - | - | - | - |
| 2016 | 848 | SHERIFF LAW ENFORCEMENT | 150,598.64 | - | (1,087.85) | 149,510.79 |
| 2016 | 851 | JAIL INMATE TRUST | 80,868.54 | 52,470.42 | (50,501.88) | 82,837.08 |
| 2016 | 861 | LIBRARY OPERATING | 228,530.80 | - | - | 228,530.80 |
| 2016 | 862 | LIBRARY READING FOR ADULTS | 39,339.19 | 1,852.65 | (1,852.65) | 39,339.19 |
| 2016 | 871 | JUVENILE PROBATION RESTITUTION | 1,012.96 | - | - | 1,012.96 |
| 2016 | 881 | ADULT PROBATION OPERATING | 112,964.79 | 113,412.63 | (110,079.50) | 116,297.92 |
| | | SUB-TOTAL | 4,855,362.09 | 185,407.80 | (183,092.77) | 4,857,677.12 |
| | | GRAND TOTALS | 41,162,004.92 | 8,327,234.46 | (9,971,521.81) | 39,517,717.57 |

County Treasurer's Monthly Report - Debts

For the Period Ending 11/30/2015

Debts Due to the County (see note 2)

| | |
|---------------------|---------------|
| Accounts Receivable | 20,137,212.65 |
|---------------------|---------------|

Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

| | |
|---|---------------|
| Capital Purchases Series 2005 LTNs- payoff - 1/31/2012 | - |
| County Library Series 2007 PIBs | 10,497,003.77 |
| Capital Purchases Series 2007 LTNs-payoff 1/31/14 | - |
| County Services Building Series 2008A LTNs-payoff 1/31/15 | - |
| New Courthouse Series 2009 LTRBs | 31,650,946.98 |
| Road Improvements Series 2009 UTRBs | 13,782,575.06 |
| Refunding Series 2010, LTRBs | 7,800,796.25 |
| Road Improvements Series 2010 UTRBs | 3,070,927.50 |
| Road Improvements Series 2012 UTRBs | 20,684,693.75 |
| Road Improvements Series 2012A UTRBs | 30,373,193.75 |
| Road Improvements Series 2013 UTRBs | 20,274,972.01 |

| | |
|-------------------------|----------------|
| Subtotal Long Term Debt | 138,135,109.07 |
|-------------------------|----------------|

| | |
|---|----------------|
| Total Debts Due by the County (see notes 3 and 4) | 138,135,109.07 |
|---|----------------|

Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 11/30/2015

Quantitative Analysis of Activities

| <u>Activity Description</u> | Where Applicable | | <u>Count</u> |
|--|-------------------------|---------------------------|--------------|
| | Prior Mo. Ending No. | Current Mo. Ending No. | |
| Receipts Processed - R#s | 89540 | 89848 | 308 |
| Journal Entries Made - CT#s | 15666 | 15726 | 60 |
| County checks written & dispositioned | 328333 | 328860 | 527 |
| Juror checks written & dispositioned | 24154 | 24372 | 218 |
| Adult Probation checks written & dispositioned | 20504 | 20515 | 11 |
| Employee Payroll Changes Processed (Incl. Elections) | | | 186 |
| Payroll Hard Copy Checks | | | 38 |
| Payroll Direct Deposit Stubs Processed | 583047 | 583638 | 591 |
| Payrolls + Direct Dep ACHs Processed | | | 25 |
| Audit Letters Received | | | 2 |
| Audit Responses Issued Due to Audit Findings | | | 0 |
| Bank Reconciliations Performed | | | 35 |
| Wire Transfers/EFTs Made - WT#s | 13599 | 13622 | 23 |
| Retiree Related Transactions | | | 42 |
| EOM Reconciliation of Billing Invoices to Deductions Taken | | | 16 |
| TCDRS Retirement Report | | | 1 |
| Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941) | | | 0 |
| Year-End W2's processed & 1095C's | | | 0 |

Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2016 - Investment Report¹
November 1, 2015 thru November 30, 2015

| | | | Description | BROKER | FUND | | BOOK VALUE | CURRENT % RATE | FY16 to Date EARNINGS RECEIVED ¹ | |
|---|-----------|---------------|--|---|-------------------|---------------|-------------------------|----------------|---|--------------------------|
| MANAGED POOL /MONEY MARKET ACCOUNTS | | | | | | | | | | |
| | | | PFM FUNDS | PFM FUNDS | General/001 | | \$ 1,003,720.25 | 0.1400% | 365.11 | |
| | | | TEXAS CLASS | TEXAS CLASS | General/001 | | \$ 810,791.11 | 0.2400% | 545.34 | |
| | | | TEXPOOL | TEXPOOL | General/001 | | \$ 926,582.18 | 0.1105% | 232.55 | |
| | | | TEXSTAR | TEXSTAR | General/001 | | \$ 135,627.57 | 0.1155% | 25.54 | |
| | | | TEXPOOL | TEXPOOL | Road & Bridge/020 | | \$ 1,937,851.06 | 0.1105% | 344.55 | |
| | | | TEXPOOL | TEXPOOL | Radio/201 | | \$ 4,700,722.78 | 0.1105% | 722.78 | |
| | | | TEXAS CLASS | TEXAS CLASS | Debt Service/060 | | \$ 876,325.54 | 0.2400% | 293.53 | |
| | | | LOGIC | LOGIC | Road Bonds/081 | | \$ 6,599,264.09 | 0.1637% | 1,967.93 | |
| | | | TEXAS CLASS | TEXAS CLASS | Multiple | | \$ 733,202.25 | 0.2400% | 278.05 | |
| | | | TEXPOOL | TEXPOOL | Multiple | | \$ 1,282,152.75 | 0.1105% | 225.59 | |
| | | | TEXSTAR | TEXSTAR | Multiple | | \$ 24,153.48 | 0.1155% | 4.57 | |
| | | | Northern Trust Municipal | ANB Safekeeping | Multiple | | \$ 30,450.82 | | 0.82 | |
| | | | | | SUB-TOTAL | | \$ 19,060,843.88 | | \$ 5,006.36 | |
| Purchase \$\$ | CUSIP | Agency | Description | BROKER | FUND | PURCHASE DATE | BOOK VALUE | CURRENT % RATE | FY16 EARNINGS | MATURITY DATE |
| 200,000.00 | 38148JPH8 | Goldman Sachs | 1 yr CD .45% matures 4/1/16 | Raymond James | CC Records/057 | 4/17/2015 | \$ 200,000.00 | 0.4500% | | 4/1/2016 |
| 200,000.00 | 78658QRB1 | SAFR | 1 yr CD.60% matures 10/14/16 | Raymond James | CC Records/057 | 10/15/2015 | \$ 200,000.00 | 0.6000% | | 10/14/2016 |
| 1,000,000.00 | 3130A3QU1 | FHLB | 2 year .75% only time callable 12/30/2015 | Raymond James | General/001 | 12/30/2014 | \$ 1,000,000.00 | 0.7500% | | 12/30/2016 |
| 1,000,000.00 | 3130A3ML5 | FHLB | 2.25 year .75% only time callable 3/30/2015 | Vining Sparks | General/001 | 12/30/2014 | \$ 1,000,000.00 | 0.7500% | | 3/30/2017 |
| 1,000,000.00 | 3130A4K92 | FHLB | 2.50 year 1.05% Only callable on 3/29/16 | Raymond James | General/001 | 3/30/2015 | \$ 1,000,000.00 | 1.050003% | | 9/29/2017 |
| 1,000,000.00 | 3133EECG3 | FFCB | 3 year 1.14% Callable anytime after 11/20/2015 | Raymond James | General/001 | 11/20/2014 | \$ 1,000,000.00 | 1.1400% | 5,700.00 | 11/20/2017 |
| 1,000,000.00 | 3133EEFF0 | FFCB | 3 year 1.20% Callable anytime after 12/18/2015 | Raymond James | General/001 | 12/18/2014 | \$ 1,000,000.00 | 1.2000% | | 12/18/2017 |
| 1,000,000.00 | 3136G2HK6 | FNMA | 4 yr 1.4% Only callable 5/20/16 | Raymond James | General/001 | 5/20/2015 | \$ 1,000,000.00 | 1.4000% | 7,000.00 | 5/20/2019 |
| 1,000,000.00 | 3134G85V7 | FHLMC | 4 yr 1.75% Callable 2/26/16; thereafter qtrly | Vining Sparks | General/001 | 11/30/2015 | \$ 1,000,000.00 | 1.7500% | | 11/26/2019 |
| 1,000,000.00 | 3136G2JJ7 | FNMA | 5 year 1.8% Callable 5/27/16; thereafter qtrly | Vining Sparks | General/001 | 5/27/2015 | \$ 1,000,000.00 | 1.8000% | 9,000.00 | 5/27/2020 |
| 1,000,000.00 | 3130A5L98 | FHLB | 5 year 2.0% callable qtrly starting 6/30/16 | Vining Sparks | General/001 | 6/30/2015 | \$ 1,000,000.00 | 2.0000% | | 6/30/2020 |
| 500,000.00 | 3130A4B27 | FHLB | 2 yr 8 mo 1.00% Bullet | Raymond James | General/001 | 2/27/2015 | \$ 500,000.00 | 1.000105% | 2,500.00 | 10/27/2017 |
| 500,000.00 | 3130A4DP4 | FHLB | 3 yr 6 mo 1.3% Bullet | Raymond James | General/001 | 2/27/2015 | \$ 500,000.00 | 1.3000% | | 8/27/2018 |
| | | | | | SUB-TOTAL | | \$ 10,400,000.00 | | \$ 24,200.00 | |
| CALLED AND/OR CLOSED INVESTMENTS | | | | | | | | | | |
| 1,000,000.00 | 3130A3B86 | FHLB | 3 year 1.25% Only time callable 10/30/15 | Raymond James | General/001 | 10/30/2014 | \$ 1,000,000.00 | 1.2500% | 6,250.00 | CALLED 10/30/2015 |
| | | | | | | | | | | |
| | | | TOTAL INTEREST | | | | | | \$ 6,250.00 | |
| TOTAL INVESTMENTS & INTEREST EARNINGS: | | | | | | | \$ 29,460,843.88 | | \$ 35,456.36 | |
| <i>David E. Peek</i> | | | | NOTES: | | | | | | |
| David E. Peek, Rockwall County Treasurer | | | | 1. Earnings received reflects actual interest & dividends for Fiscal Year 2016 to date. | | | | | | |
| | | | | 2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy. | | | | | | |