

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2017, Month of October

Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH	RECEIPTS	DISBURSEMENTS	ENDING CASH
			BALANCE			BALANCE
2017	1	GENERAL FUND	18,360,367.83	3,560,823.76	(4,855,954.84)	17,065,236.75
2017	5	JURY FUND	(332.00)	5,322.00	(5,322.00)	(332.00)
2017	15	JPD LOCAL FUND	83,594.70	150,942.28	(36,932.10)	197,604.88
2017	16	IV-E JUVENILE ADMINISTRATION	95,347.13	66.18	(185.74)	95,227.57
2017	17	JUVENILE PROBATION FEES	23,370.77	326.40	-	23,697.17
2017	20	ROAD & BRIDGE FUND	1,919,721.76	124,522.82	(77,369.32)	1,966,875.26
2017	22	TRUANCY COURT	50.00	-	-	50.00
2017	23	VETERANS COURT	11,765.42	-	(75.00)	11,690.42
2017	24	E.A.S.E. 2.0 GRANT	-	-	-	-
2017	25	EMERGENCY MANAGEMENT FUND	30,895.22	0.89	(13,006.65)	17,889.46
2017	26	COURTHOUSE RENOVATION FUND	99,425.37	-	-	99,425.37
2017	27	S.C.A.A.P GRANT	19,205.83	-	(157.44)	19,048.39
2017	28	--CC VITAL STATISTICS--	21,699.48	-	(750.00)	20,949.48
2017	29	--CC ARCHIVAL FEE--	319,887.03	65.21	(2,950.00)	317,002.24
2017	30	FIRE CODE ENFORCEMENT FUND	130,451.00	1,408.69	(1,000.00)	130,859.69
2017	31	JUV.DELINQ.PREVENTION FUND	50.00	-	-	50.00
2017	33	COURT RECORD PRESERVATION FUND	95,312.67	-	(72,000.00)	23,312.67
2017	34	DIST COURT RECORDS TECHNOLOGY	68,779.49	-	(50,000.00)	18,779.49
2017	35	LAW LIBRARY FUND	187,574.82	55.21	(6,266.15)	181,363.88
2017	36	COUNTY/DIST COURT TECHNOLOGY	15,586.78	-	-	15,586.78
2017	38	TCEQ LOCAL INITIATIVE PROJECT	3,810.44	-	-	3,810.44
2017	39	JUSTICE COURT SECURITY	33,493.24	9.99	-	33,503.23
2017	40	D.A. STATE FUND	11,918.95	7,080.42	(342.94)	18,656.43
2017	41	CLICK IT OR TICKET GRANT	-	-	-	-
2017	42	D.A. FORFEITURE FUND	134,668.00	51.04	(705.52)	134,013.52
2017	43	CITIES READINESS INITIATIVE	281.41	-	(2,796.33)	(2,514.92)
2017	45	TEXAS STATE LIBRARY GRANTS	(285.84)	285.84	-	-
2017	47	EMERGENCY MANAGEMENT FED GRANT	(7,341.61)	-	-	(7,341.61)
2017	49	SHERIFF'S ABANDONED FUND	13,969.76	109.04	-	14,078.80
2017	50	ERRORS & OMISSION INSURANCE	152,254.67	37.30	-	152,291.97
2017	51	PUBLIC SAFETY SALES TAX FUND	458,201.18	25,727.36	-	483,928.54
2017	52	CHILD ABUSE PREVENTION FUND	198.27	-	-	198.27
2017	53	DIST CLERK RECORDS MANAGEMENT	13,751.35	-	(1,319.10)	12,432.25
2017	54	COURT REPORTER SERVICE FUND	222,472.72	50.11	(2,820.00)	219,702.83
2017	55	APPELLATE JUSTICE SYSTEM FUND	25,782.59	4.59	-	25,787.18
2017	56	JUSTICE COURT TECHNOLOGY FUND	172,703.62	255.52	(2,306.25)	170,652.89
2017	57	CC RECORDS MANAGEMENT & PRES.	845,480.81	201,282.49	(206,471.09)	840,292.21
2017	58	RECORDS MANAGEMENT & PRES.	137,795.82	20.53	(35,700.24)	102,116.11
2017	59	COURTHOUSE SECURITY FUND	46,312.95	55,002.82	(20,596.58)	80,719.19
2017	60	DEBT SERVICE FUND	902,523.48	440,479.43	(209,246.27)	1,133,756.64
2017	70	LIBRARY CONSTRUCTION-2007 BOND	24,932.29	8.60	-	24,940.89
2017	73	LIBRARY RENNOVATION	547.70	-	-	547.70
2017	74	COUNTY INFORMATION TECHNOLOGY	-	-	-	-
2017	76	LIBRARY DONATION FUND	23,787.83	7,169.04	-	30,956.87
2017	80	CAPITAL PROJECT-COUNTY SVS.	3.45	-	-	3.45
2017	81	ROAD IMPROVEMENTS BOND 2004	11,041,817.19	37,604.07	(59,781.07)	11,019,640.19
2017	83	--VETERANS MEMORIAL FUND--	7.56	-	-	7.56
2017	84	INTEGRATED JUDICIAL SOFTWARE	353,118.16	-	(105,571.75)	247,546.41
2017	85	JPD TEXAS PARKS & WILDLIFE GRT	54.82	-	-	54.82
2017	88	JUVENILE PROBATION DEPARTMENT	22,166.80	-	-	22,166.80
2017	90	INDIGENT HEALTH CARE FUND	28,356.27	50,001.24	(16,912.64)	61,444.87
2017	92	BAIL BOND BOARD FUND	1,304,107.75	18.33	-	1,304,126.08
2017	94	ADULT PROBATION DEPARTMENT	468,584.62	252,779.05	(263,921.63)	457,442.04
2017	170	JUVENILE PROBATION GRANTS	4,745.59	103,168.00	(57,729.48)	50,184.11
2017	185	COUNTY INSURANCE TRUST FUND	826,097.56	373,398.90	(313,277.28)	886,219.18
2017	201	RADIO INTEROPERABILITY FUND	2,275,425.80	1,239,828.63	(2,481,375.64)	1,033,878.79
2017	202	FACILITIES IMPROVEMENT	66,432.78	-	(14,749.62)	51,683.16
2017	490	ELECTION SERVICES FUND	61,149.50	-	-	61,149.50
2017	495	CHAPTER 19 FUND	4,835.69	-	(3,196.56)	1,639.13
		SUB-TOTAL	41,156,916.47	6,637,905.78	(8,920,789.23)	38,874,033.02

County Treasurer's Report of Receipts, Disbursements and Balances by Fund

Fiscal Year 2017, Month of October

Fiscal Year	FUND NUMBER	ACCOUNT NAME	BEGINNING CASH			ENDING CASH BALANCE
			BALANCE	RECEIPTS	DISBURSEMENTS	
2017	801	COUNTY CLERK BONDS-8280	23,394.83	-	-	23,394.83
2017	802	COUNTY CLERK BONDS-3716	366,784.19	-	-	366,784.19
2017	811	DISTRICT CLERK ESCROW	333,796.22	-	-	333,796.22
2017	812	DISTRICT CLERK GENERAL	3,569.44	-	-	3,569.44
2017	821	DISTRICT ATTORNEY ESCROW	50,134.93	-	-	50,134.93
2017	822	DISTRICT ATTORNEY MERCHANT	2,303.82	-	-	2,303.82
2017	823	DISTRICT ATTORNEY SEIZURE	77,260.45	-	-	77,260.45
2017	824	DISTRICT ATTORNEY FEE	34,220.29	-	-	34,220.29
2017	831	TAX ASSESSOR - SALES TAX	(2,448.15)	-	-	(2,448.15)
2017	832	TAX ASSESSOR - VIT ESCROW	655,345.64	-	-	655,345.64
2017	833	TAX ASSESSOR - AUTO REGISTRATI	230,921.26	-	-	230,921.26
2017	834	TAX ASSESSOR - PROPERTY TAX	59,811.88	-	-	59,811.88
2017	835	TAX ASSESSOR - TAX ESCROW	-	-	-	-
2017	836	TAX ASSESSOR-BOAT REGISTRATION	27,000.84	-	-	27,000.84
2017	841	SHERIFF PUBLIC SUPPORT	46.50	-	-	46.50
2017	842	SHERIFF LAW ENFORCEMENT	216,919.30	-	-	216,919.30
2017	843	SHERIFF CASH ESCROW	152,656.06	-	-	152,656.06
2017	844	SHERIFF OPERATING	793.45	-	-	793.45
2017	845	SHERIFF INMATE TRUST	38,638.18	-	-	38,638.18
2017	846	SHERIFF LEOSE	11,439.70	-	-	11,439.70
2017	847	SHERIFF PENDING FORFEITURE	-	-	-	-
2017	848	SHERIFF LAW ENFORCEMENT	129,417.89	-	-	129,417.89
2017	851	JAIL INMATE TRUST	88,715.07	27,997.43	(47,751.55)	68,960.95
2017	861	LIBRARY OPERATING	263,903.06	-	-	263,903.06
2017	862	LIBRARY READING FOR ADULTS	37,955.28	-	-	37,955.28
2017	871	JUVENILE PROBATION RESTITUTION	1,631.51	-	-	1,631.51
2017	881	ADULT PROBATION OPERATING	135,738.81	-	-	135,738.81
		SUB-TOTAL	2,939,950.45	27,997.43	(47,751.55)	2,920,196.33
		GRAND TOTALS	44,096,866.92	6,665,903.21	(8,968,540.78)	41,794,229.35

County Treasurer's Monthly Report - Debts

For the Period Ending 10/31/2016

Debts Due to the County (see note 2)

Accounts Receivable	22,565,124.36
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Debts due by the County (see notes 3 & 4)

Long Term Debt Obligations of the County

County Library Series 2015 PIBs Refunded	8,273,795.50
County Library Series 2007 PIBs	571,200.00
New Courthouse Series 2009 LTRBs	29,983,778.22
Road Improvements Series 2009 UTRBs	13,057,300.06
Refunding Series 2010, LTRBs	6,903,258.75
Road Improvements Series 2010 UTRBs	2,907,457.50
Road Improvements Series 2012 UTRBs	19,468,276.25
Road Improvements Series 2012A UTRBs	28,434,006.25
Road Improvements Series 2013 UTRBs	19,737,090.75
Road Improvements Series 2016 UTRBs	20,021,632.50

Subtotal Long Term Debt	149,357,795.78
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Total Debts Due by the County (see notes 3 and 4)	<u>149,357,795.78</u>
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Notes:

1. The above information is required to be reported to the Commissioners Court by Local Government Code Section 114.026(a)(2).
2. Debts due to the County do not include unpaid taxes that have been levied by the Tax Assessor/Collector. A report providing that information can be obtained directly from that office.
3. The significant level of the amount due primarily reflects payment obligations related to courthouse, library and roads.
4. The Long Term Debt obligations of the County include principal and interest payments remaining on the debt instruments as of the end of period being reported.
5. Following are the acronyms used: COs - Certificates of Obligation; PIBs - Permanent Improvement Bonds; LTNs - Limited Tax Notes; LTRBs - Limited Tax Refunding Bonds; and UTRBs - Unlimited Tax Road Bonds.

County Treasurer's Monthly Report - Other Proceedings

For the Period Ending 10/31/16

Quantitative Analysis of Activities

<u>Activity Description</u>	Where Applicable		<u>Count</u>
	Prior Mo. Ending No.	Current Mo. Ending No.	
Receipts Processed - R#s	93520	93892	372
Journal Entries Made - CT#s	16533	16597	64
County checks written & dispositioned	333885	334379	494
Juror checks written & dispositioned	27606	27728	122
Adult Probation checks written & dispositioned	20681	20695	14
Employee Payroll Changes Processed (Incl. Elections)			324
Payroll Hard Copy Checks			26
Payroll Direct Deposit Stubs Processed	590251	590844	593
Payrolls + Direct Dep ACHs Processed			30
Audit Letters Received			2
Audit Responses Issued Due to Audit Findings			0
Bank Reconciliations Performed			29
Wire Transfers/EFTs Made - WT#s	13839	13854	15
Retiree Related Transactions			55
EOM Reconciliation of Billing Invoices to Deductions Taken			16
TCDRS Retirement Report			1
Quarterly Payroll Related Reports (Workers' Comp, Unemployment, 941)			0
Year-End W2's processed & 1095C's			

Notes & Commentary

1 This part of the report is intended to meet the requirements of LGC 114.026(a)(3), "All other proceedings in the Treasurer's office". The above table sets forth quantitative measures of activities performed by this office and is a recurring feature of this report.

County of Rockwall, Texas
Fiscal Year 2016 - Investment Report
October 1, 2016 thru October 31, 2016

Description	BROKER	FUND	BOOK VALUE	CURRENT % RATE	FY17 to Date EARNINGS RECEIVED ¹
MANAGED POOL /MONEY MARKET ACCOUNTS					
PFM FUNDS	PFM FUNDS	General/001	\$ 614,063.90	0.1800%	295.54
TEXAS CLASS	TEXAS CLASS	General/001	\$ 5,145,873.44	0.8300%	3,605.03
TEXPOOL	TEXPOOL	General/001	\$ 2,381,146.02	0.3828%	755.74
TEXSTAR	TEXSTAR	General/001	\$ 136,086.68	0.4202%	48.53
TEXPOOL	TEXPOOL	Road & Bridge/020	\$ 1,823,655.68	0.3828%	592.67
TEXPOOL	TEXPOOL	Radio/201	\$ 1,033,524.07	0.3828%	661.65
TEXAS CLASS	TEXAS CLASS	Debt Service/060	\$ 1,001,247.47	0.8300%	636.88
LOGIC	LOGIC	Road Bonds/081	\$ 11,015,613.15	0.7248%	7,604.07
TEXAS CLASS	TEXAS CLASS	Multiple	\$ 998,379.17	0.8300%	699.29
TEXPOOL	TEXPOOL	Multiple	\$ 1,520,149.29	0.3828%	493.90
TEXSTAR	TEXSTAR	Multiple	\$ 24,231.53	0.4202%	8.60
Northern Trust Municipal	ANB Safekeeping	Multiple	\$ 14,903.97		3.97
SUB-TOTAL			\$ 25,708,854.37		\$ 15,405.87

Purchase \$\$	CUSIP	Agency	Description	BROKER	FUND	PURCHASE DATE	BOOK VALUE	CURRENT % RATE	FY17 EARNINGS	MATURITY DATE
200,000.00	78658QW2	SAFR	1 yr CD .70% matures 04/13/17	Raymond James	CC Records/057	4/13/2016	\$ 200,000.00	0.7000%		4/13/2017
1,000,000.00	3130A3ML5	FHLB	2.25 year .75% only time callable 3/30/2015	Vining Sparks	General/001	12/30/2014	\$ 1,000,000.00	0.7500%		3/30/2017
1,000,000.00	3136G2ZF7	FNMA	3 yr 1.2% Callable 8/26/16; thereafter qtrly	Raymond James	General/001	2/26/2016	\$ 1,000,000.00	1.2000%		2/26/2019
1,000,000.00	3133EFZT7	FFCB	4yr 1.4% only time callable 2/24/17	Raymond James	General/001	2/24/2016	\$ 1,000,000.00	1.4000%		2/24/2020
1,000,000.00	3133EFV20	FFCB	4 yr 1.49% callable 3/30/17 and anytime thereafter	Vining Sparks	General/001	3/30/2016	\$ 1,000,000.00	1.4900%		3/30/2020
1,000,000.00	3130A6Y43	FHLB	5 year 2.0% callable qtrly starting 12/30/16	Vining Sparks	General/001	12/30/2015	\$ 1,000,000.00	2.0000%		12/30/2020
500,000.00	3130A4B27	FHLB	2 yr 8 mo 1.00% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.000105%	2,500.00	10/27/2017
500,000.00	3130A4DP4	FHLB	3 yr 6 mo 1.3% Bullet	Raymond James	General/001	2/27/2015	\$ 500,000.00	1.3000%		8/27/2018
SUB-TOTAL							\$ 6,200,000.00		\$ 2,500.00	

CALLED AND/OR CLOSED INVESTMENTS										
200,000.00	78658QRB1	SAFR	1 yr CD.60% matures 10/14/16	Raymond James	CC Records/057	10/15/2015	\$ 200,000.00	0.6000%	1,200.00	MATURED 10/14/2016
									\$ 1,200.00	

TOTAL INVESTMENTS & INTEREST EARNINGS: **\$ 31,908,854.37** **\$ 19,105.87**

David E. Peek
 David E. Peek, Rockwall County Treasurer

NOTES:
 1. Earnings received reflects actual interest & dividends for Fiscal Year 2016 to date.
 2. The investment portfolio is in compliance with the PFIA and with the County's Investment Policy.